

# Electronic Information Disclosure Statement



## SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

Application:



09/982838

Confirmation: 8479

Applicant(s): Joseph GATTO

Docket

23449-020

Number:

Group Art

3624

Unit:

Examiner: Kelly Scaggs Campen

search string:

( 3270190 or 5006998 or 5132899 or 5365425 or 5500795 or 5502637 or 5608620 or 5613072 or 5675746 or 5749077 or 5761442 or 5774880 or 5774881 or 5802518 or 5812988 or 5819271 or 5852811 or 5864871 or 5893079 or 5909669 or 5911136 or 5918217 or 5946666 or 5948054 or 5950176 or 5956691 or 5963922 or 6012042 or 6012043 or 6021397 or 6064986 or 6119103 or 6125355 or 6154732 or 6236980 or 6253192 or 6317726 or 6370516 or 6510419 or 20020019791 or 20020022988 or 20020082966 ).pn.

**RECEIVED**  
FEB 21 2003  
GROUP 3600

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
N	P01	3270190	1966-08-30		Lambert	235	194
N	P02	5006998	1991-04-09		Yasunobu et al.	364	513
N	P03	5132899	1992-07-21		Fox	364	408

N2	P04	5365425	1994-11-15		Torma et al.	364	401
↑	P05	5500795	1996-03-19		Powers et al.	364	401
	P06	5502637	1996-03-26		Beaulieu et al.	364	408
	P07	5608620	1997-03-04		Lundgren	395	201
	P08	5613072	1997-03-18		Hammond et al.	395	204
	P09	5675746	1997-10-07		Marshall	395	235
	P10	5749077	1998-05-05		Campbell	705	36
	P11	5761442	1998-06-02		Barr et al.	395	236
	P12	5774880	1998-06-30		Ginsberg	705	36
	P13	5774881	1998-06-30		Friend et al.	705	36
	P14	5802518	1998-09-01		Karaev et al.	707	9
	P15	5812988	1998-09-22		Sandretto	705	36
	P16	5819271	1998-10-06		Mahoney et al.	707	9
	P17	5852811	1998-12-22		Atkins	705	36
	P18	5864871	1999-01-26		Kitain et al.	707	104
	P19	5893079	1999-04-06		Cwenar	705	36
	P20	5909669	1999-06-01		Havens	705	11
	P21	5911136	1999-06-08		Atkins	705	36
	P22	5918217	1999-06-29		Maggioncalda et al.	705	36
	P23	5946666	1999-08-31		Nevo et al.	705	36
	P24	5948054	1999-09-07		Nielsen	709	200
	P25	5950176	1999-09-07		Keiser et al.	705	37
	P26	5956691	1999-09-21		Powers	705	4
	P27	5963922	1999-10-05		Helmering	705	35
	P28	6012042	2000-01-04		Black et al.	705	36
	P29	6012043	2000-01-04		Albright et al.	705	36
↓	P30	6021397	2000-02-01		Jones et al.	705	36
N4	P31	6064986	2000-05-16		Edelman	705	36

N	P32	6119103	2000-09-12		Basch et al.	705	35
↑	P33	6125355	2000-09-26		Bekaert et al.	705	36
	P34	6154732	2000-11-28		Tarbox	705	36
	P35	6236980	2001-05-22		Reese	705	36
	P36	6253192	2001-06-26		Corlett et al.	705	36
	P37	6317726	2001-11-13		O'Shaughnessy	705	36
↓	P38	6370516	2002-04-09		Reese	705	36
N	P39	6510419	2003-01-21		Gatto	705	36

## Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
N	U01	20020019791	2002-02-14		Goss et al.	705	36
N	U02	20020022988	2002-02-21		Columbus et al.	705	11
N	U03	20020082966	2002-06-27		O'Brien et al.	705	36

## Signature

Examiner Name	Date
N. Sub	3/5/06

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

## Title of Invention

SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING  
SYSTEM AND METHOD

Application Number : 09/982838  
Confirmation Number: 8479  
First Named Applicant: Joseph GATTO  
Attorney Docket Number: 089070-0311376  
Art Unit: 3624  
Examiner: Narayanswamy Subramanian  
Search string: ( 6792399 ).pn



## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
NM	1	6792399	2004-09-14	Phillips et al.		703	2

## Remarks

Note: Remarks are not for responding to an office action.

This Information Disclosure Statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or more than three months after the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, and after the mailing date of the first Office Action on the merits, but before the mailing date of any of a Final Action under 37 C.F.R. 1.113, a Notice of Allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application. 37 C.F.R. 1.97(c). This document was cited by the U.S. Patent Office in co-pending Application Serial No. 09/893,460, filed June 29, 2001, in an Office Action dated October 11, 2005. I hereby certify that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).

Signature

N. Sub

3/6/06

Examiner Name

Date